

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	68	(spread or spreadable) AND (market) AND(parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign near (currency or exchange))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/23 10:58
S2	45	(spread or spreadable) AND (market) AND(parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign near (currency or exchange)) AND ((limit or market) near order)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/19 23:14
S3	43	(spread or spreadable) AND (market) AND(parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign near (currency or exchange)) AND ((limit or market) near order) AND ("705".CLAS.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/19 23:18
S4	31	(spread or spreadable) AND (pair) AND (trade) and (market) AND (parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign near (currency or exchange)) AND ((limit or market) near order) AND ("705".CLAS. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/19 23:19
S5	18	(spread or spreadable) AND (pair) AND (trade) and (ratio) and (market) AND (parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign near (currency or exchange)) AND ((limit or market) near order) AND ("705".CLAS.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/19 23:19
S6	1	("2004/0128225").URPN.	USPAT	OR	OFF	2007/08/19 23:23
S7	4	trading same indicator same currency same pairs	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/08/19 23:25
S8	6	foreign same exchange same arbitrage same FX	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/08/22 11:06
S9	31	foreign same exchange same arbitrage	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/08/22 11:06

## EAST Search History

S10	93	(spread or spreadable) AND (market) AND(parameter or information or data or metadata) AND (risk) AND (price same movement) AND (foreign same (currency or exchange))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/23 10:59
S11	6	(trade or trading) same (securities or foreign or instrument or derivative or currency or currencies) same (hedge or exposure or risk or default or loss) same (ratio) same (price near (movement or spread))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/23 11:02
S12	4	(trade or trading) same (securities or foreign or instrument or derivative or currency or currencies) same (hedge or exposure or risk or default or loss) same (price near (movement or spread)) same (((foreign near currency) or FX)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2007/08/23 11:05
S13	1	"4452457".PN.	USPAT; USOCR	OR	OFF	2007/08/23 12:18
S14	5728	(first adj4 order) same (second order) same (ratio)	USPAT; USOCR	OR	OFF	2007/08/23 13:18
S15	1707	(first adj4 order) same (second adj4 order) same (ratio)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 13:20
S16	10	(first adj4 order) same (second adj4 order) same (ratio) AND ("705".CLAS.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:27
S17	1	((hedge) and (exposure)) same (arbitrage) same foreign same currenc\$ same (ratio or coefficient or proportion or factor or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:29
S18	0	((hedge) and (exposure)) same (arbitrage) same foreign same currenc\$ same (ratio or coefficient or proportion or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:29
S19	0	((hedge) or (exposure)) same (arbitrage) same foreign same currenc\$ same (ratio or coefficient or proportion or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:30

## EAST Search History

S20	18	((hedge) or (exposure)) and (arbitrage) same foreign same currenc\$ and (ratio or coefficient or proportion or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:31
S21	17	(exposure) and (arbitrage) same foreign same currenc\$ and (ratio or coefficient or proportion or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:32
S22	82	(exposure) and (arbitrage) and (foreign same currenc\$) and (ratio or coefficient or proportion or relation\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:32
S23	82	(exposure) and (arbitrage) and (foreign same currenc\$) and (ratio or coefficient or proportion or relation\$) and (first or second)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:32
S24	57	(exposure) and (arbitrage) and (foreign same currenc\$) and (ratio or coefficient or proportion or relation\$) and (first or second) and (convert)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 16:32
S25	74	(exposure) and (arbitrage) and (foreign same currenc\$) and (ratio or coefficient or proportion or relation\$) and (first or second) and (convert\$)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 18:04
S26	78	(exposure) and (arbitrage) and (foreign same currenc\$) and (ratio or coefficient or proportion or relation\$) and future\$	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2007/08/23 18:04
S27	168	arbitrage and (securities or fund or instrument) and (international or foreign) and ratio	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2007/08/27 14:20
S28	12	arbitrage same (securities or fund or instrument) and (international or foreign) same ratio	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	ON	2007/08/27 17:48

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S29	1	("7249086").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/27 17:49
S30	8	electronic same trading same arbitrage same (spread or ratio)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2007/08/27 17:50
S31	1	("6519574").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/28 10:52
S32	1	("6772132").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 13:32
S33	3	((("6772132") or ("5347452") or ("6772146"))).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 13:33
S34	4	((("6772132") or ("5347452") or ("6772146") or ("20020026404"))).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 13:49
S35	1	("7243083").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 13:49
S36	1	"6421653".PN.	USPAT; USOCR	OR	OFF	2007/08/29 13:49
S37	1	"20020099636".PN.	US-PGPUB	OR	OFF	2007/08/29 14:02
S38	1	("7171386").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 14:22
S39	1	("5787402").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/29 14:23

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foreign currency arbitrage

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## Patents

Patents 1 - 9 on foreign currency arbitrage. (0.17 seconds)

### Online sales risk management system

US Pat. 7024383 - Filed Mar 16, 2000 - Goldman, Sachs &amp; Co.

The originator is typically a buyer or a quantity of **foreign currency** equal ...  
do not try to **arbitrage** the rate by display the bid in a **currency** local to ...

### Privatization marketplace

US Pat. 6112188 - Filed Nov 20, 1995

Then prices are 50 estimated for **foreign currency** assets ... 55 In addition,  
**arbitrage** is automatically performed between smus ...

### Credit management for electronic brokerage system

US Pat. 5375055 - Filed Feb 3, 1992 - Foreign Exchange Transaction Services, Inc.

At the top of the display appears the **currency** pair 12 (as shown, ... however at  
times it may re- 30 fleet an "**Arbitrage Opportunity**" in which a third party ...

### Pricing module for financial advisory system

US Pat. 6125355 - Filed Dec 2, 1997 - Financial Engines, Inc.

The model disclosed by Duffie and Kan is an **arbitrage**-free model. ... See, for  
example, "An Affine Model of **Currency Pricing**," a working paper (1996) by D. ...

### Method and apparatus for localizing **currency** valuation independent of the original and objective ...

US Pat. 6721715 - Filed Dec 21, 1998

The **foreign** denominations to a base **currency** or any other may be embedded in a  
... to provide **arbitrage** opportunities for of **currency** translations. ...

### Adaptive knowledge base

US Pat. 6064971 - Filed Jun 7, 1995

... in specific enterprise) 55 FCXX (**foreign currency**) 56 PAYOUT (generalized ...  
register enterprise debt instruments 58 Implicit **Arbitrage Revenue** Enter ...

### Universal contract exchange

US Pat. 6876982 - Filed Feb 18, 1997 - Lancaster Australia Pty Limited

The **Currency Converter's** quotes could be varied by the **Currency Converter** at any  
... **Arbitrage Between Markets** 20 Each contract type will probably have the ...

### Marketplace system fees enhancing market share and participation

US Pat. 6882985 - Filed Apr 7, 2000

10. **arbitrage** (true) one or more trades that when executed simultaneously can  
... A(r) in dollars or other **currency** or standard of value, ie,  $W(r)=A(r)$ . ...

### Method for structuring a transaction

US Pat. 7236955 - Filed Jun 15, 2001 - Goldman Sachs &amp; Co.

There are four general types of trading strategies: (1) **arbitrage**; ... For example,  
if **currency** controls were implemented, it is possible that the broker ...

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spread trading (currency OR currencies)

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## Patents

Patents 1 - 10 on **spread trading (currency OR currencies)**. (0.09 seconds)

### System and method for trading having a principal market maker

US Pat. 5963923 - Filed Jun 3, 1997

The link denned in claim 1, further comprising a second communications link to allow vertical, horizontal and combination **spread options trading**. 5. ...

### System for conducting and processing spot commodity transactions

US Pat. 5168446 - Filed May 23, 1989 - Telerate Systems Incorporated

The trader can then select from the slate display SAME **CURRENCY** or ... he can select from to induce the counterparty to improve his quote: CLOSE **SPREAD** 217, ...

### Trading system for providing real time context sensitive trading messages based on conversation ...

US Pat. 5195031 - Filed Oct 24, 1988 - Reuters Limited

... Given a **currency** number, return a pointer to its Spot **Spread** »/ char ...  
the appropriate unavailable number 1f the **currency** number Is 1 ( US Dollar ). ...

### Credit management for electronic brokerage system

US Pat. 5375055 - Filed Feb 3, 1992 - Foreign Exchange Transaction Services, Inc.

At the top of the display appears the **currency** pair 12 (as shown, ... Moreover, the **trading** system is preferably able to "automatch" a bid price from one ...

### Data processing system and method for financial debt instruments

US Pat. 5946667 - Filed May 1, 1998 - Morgan Stanley Group, Inc.

This code maps to the **spread** file where the specific spreads are assigned to specific countries. CURR The local trade **currency**. RIC The symbol identifier ...

### Systems, methods and computer program products for electronic trading of financial instruments

US Pat. 6421653 - Filed Oct 12, 1998 - Blackbird Holdings, Inc.

The follow are the rules for the present embodiment: A—Default in all **currencies**  
S—USD **spread** trades. The default in USD is annual money versus 3 month ...

### Electronic spread trading tool

US Pat. 7243083 - Filed Jun 14, 2001 - Trading Technologies International, Inc.

5 To begin **spread trading**, in step 404, a trader would designate one leg ...  
434 functions to equalize products traded in different **currencies** (eg Euros and ...

### Systems, methods and computer program products for monitoring credit risks in electronic trading ...

US Pat. 6317727 - Filed Oct 12, 1998 - Blackbird Holdings, Inc.

The follow are the rules for the present embodiment: A-Default in all **currencies**  
S-USD **spread** trades. The default in USD is annual money versus 3 month ...

### Method and system for performing automated financial transactions involving foreign currencies ...

US Pat. 5787402 - Filed Oct 8, 1996 - Crossmar, Inc.

The method of claim 1 wherein said inputting first and second **currencies** step  
... all second **currencies** available for trade with said first 1S **currency** once ...

Real-time commodity **trading** method and apparatus

US Pat. 7171386 - Filed Oct 8, 1999 - RFV Holdings

The slippage amount Where a client desires to consummate a **currency** exchange ...  
**spread** but also pockets the of units of the commodity in the **trading** offer, ...

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foreign exchange order

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## Patents

Patents 1 - 10 on foreign exchange order. (0.04 seconds)

### Financial exchange system having automated recovery/rollback of unacknowledged orders

US Pat. 5305200 - Filed Nov 2, 1990 - Foreign Exchange Transaction Services, Inc.

Before any order in response to an outstanding quote is communicated to the quoter,

... 1 is an overview of a communications network for a foreign exchange ...

### Trusted agents for open electronic commerce

US Pat. 5557518 - Filed Apr 28, 1994 - Citibank, N.A.

65 The next portion of the foreign exchange protocol is designed so that neither party knows the order in which the 40 transacting money modules will commit ...

### Electronic automatic offer matching system for freezer exchange transactions among banks

US Pat. 5508913 - Filed Mar 18, 1994 - Fujitsu Limited

1, a foreign exchange transaction is either performed by a plurality of banks 12A

... 4, first, when an operator places an 60 order (41) through a dealing ...

### Electronic ticket presentation and transfer method

US Pat. 5621797 - Filed Dec 19, 1995 - Citibank, N.A.

The next portion of the foreign exchange protocol is designed so that neither party knows the order in which the transacting money modules will commit. ...

### Analysis and validation system for provisioning network related facilities

US Pat. 5881131 - Filed Jun 27, 1997 - Bell Atlantic Network Services, Inc.

CSOP—Common Service Order Processor—Translates service request from SSNS into

... FX—Foreign Exchange—Assignment of a telephone number and local calling ...

### Method and system for performing automated financial transactions involving foreign currencies ...

US Pat. 5787402 - Filed Oct 8, 1996 - Crossmar, Inc.

that form the backbone of the FX applications' as a leave order. ... 45 The

described electronic Foreign Exchange ("FX") appli- Further. the system enables ...

### Anonymous matching system

US Pat. 5136501 - Filed May 26, 1989 - Reuters Limited

... given trading instrument in time order time prices of said bids and offers,

... in given trading instruments comprising foreign exchange which bids are ...

### Electronic-monetary system

US Pat. 5453601 - Filed Nov 15, 1991 - Citibank, N.A.

Foreign Exchange At A Correspondent Bank The foreign exchange with a ... 25 to

update the certificate in order to transact with another money module 4. ...

### Financial information intermediary system

US Pat. 5913202 - Filed Jun 13, 1997 - Fujitsu Limited

... intermediary services concerning foreign exchange trade, for example. ...

41 and a management database 42 in order to respond to any requests from the ...

Electronic-monetary system

US Pat. 6047067 - Filed Dec 19, 1997 - Citibank, N.A.

The next portion of the **foreign exchange** protocol is designed so that neither party knows the **order** in which the 25 40 45 will discourage parties from ...



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foreign exchange order

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